



# BEST AVAILABLE COPY

## PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Giatti, Anna, et al.

Serial No.: 09/981,140

Filing Date: October 16, 2001

: Docket: AFL2835US

: Examiner:

: Group Art Unit:

For: PROCESS FOR IMPROVING THE PURITY:  
OF QUATERNARY AMMONIUM HYDROXIDES:  
BY ELECTROLYSIS

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING  
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deposited with the United States Postal Service as  
First-Class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, DC 20231 12/11/01  
on

*Angelique A. [Signature]*

### SUBMISSION OF ORIGINAL EXECUTED DECLARATION & POWER OF ATTORNEY

Sir:

In response to the Notice to File Missing Parts of Non Provisional Application  
dated November 19, 2001 enclosed herewith is the executed Declaration of the inventors  
with a copy of the application attached hereto.

Authorization to charge the surcharge fee of \$130.00 is attached herewith.

Respectfully submitted,

*Louis A. Morris*  
Louis A. Morris  
Attorney for Applicants

Reg. No.: 28,100

Akzo Nobel Inc.  
Intellectual Property Dept.  
7 Livingstone Avenue  
Dobbs Ferry, New York 10522-3408  
(312) 906-7769  
Enclosures

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TRANSMITTAL LETTER

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Washington, DC 20231  
on 12/11/01

*Angelique*

☒ Transmitted herewith is a responsive document(s) for this application.  
TRANSMITTAL LETTER IN DUPLICATE; SUBMISSION OF ORIGINAL  
DECLARATION AND POWER OF ATTORNEY; ORIGINAL DECLARATION AND  
POWER OF ATTORNEY W/ APPLICATION ATTACHED; NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION; SUBMISSION OF CERTIFIED  
PRIORITY DOCUMENT; PRIORITY DOCUMENT and CERTIFICATE OF MAILING.

☒ Applicant hereby petitions for an extension of time under 37 CFR 1.136 of:

☐ One Month (\$110.00)

☐ Two Months (\$390.00)

☐ Three Months (\$890.00)

☐ Four Months (\$1390.00)

☒ Missing Parts (\$130.00)

☐ Additional claims are presented. The fee therefore is:

Claims Pending	Previously Paid For	Extra Claims	Rate	Fee
Total:	- 20	=	X \$18 =	\$
Indep:	- 3	=	X \$78 =	\$
First Presentation of Multiple Dependent Claims			+ \$260 =	\$
			Total	\$

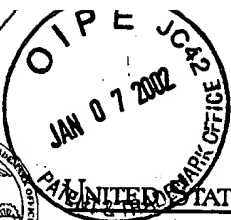
The total fee believed due is \$ 130.00. Please charge this amount and any other fees which may be due (including filing fees under 37 CFR 1.16 and processing fees under 37 CFR 1.17) to Deposit Account No. 01-1350. If an extension of time is required but has not been requested above, Applicant hereby petitions for an extension of time sufficient for the attached document(s) to be timely. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Louis A. Morris*  
Louis A. Morris  
Attorney for Applicant(s)  
Reg. No. 28,100

Akzo Nobel Inc.  
Intellectual Property Department  
7 Livingstone Avenue  
Dobbs Ferry, N.Y. 10522  
(312) 906-7769

Sector



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,140	10/16/2001	Anni Giatti	AFL2835US

CONFIRMATION NO. 6221

## FORMALITIES LETTER



\*OC000000007086337\*

Louis A. Morris  
Akzo Nobel Inc.  
7 Livinstone Avenue  
Dobbs Ferry, NY 10522-3408

Date Mailed: 11/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*Beth T. Bustin*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/10/2002 CVORACHA 00000053 011350 09981140

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